

PROCUREMENT AND PROPERTY MANAGEMENT DIVISION BROOKHAVEN NATIONAL LABORATORY Managed by Brookhaven Science Associates, LLC under contract to the U.S. Department of Energy ACQUISITION MANAGEMENT SYSTEM FORM	Form No. AMS-Form-010
	Revision No. 2
	APPROVED BY: <u>M.F. Healey / 11/02/04</u>
PPM Manager/Date	
REPRESENTATIONS AND CERTIFICATIONS – SUPPLIER INFORMATION	

Company Name _____
Address _____

Certifying Official
Name: _____
Title: _____
Date: _____

Phone Number _____
Fax Number _____
Email Address _____

Solicitation #: _____
Sol. Date: _____
Vendor Status: New ☐ Inactive ☐ Active ☐
Vendor Code: _____

Initial Certification ☐ Annual Recertification ☐ Address Change ☐ Type of Organization Change ☐
 Small Business Program Representation Change ☐

Invoice Information

Company Name _____
Address _____

Remit Information

Company Name _____
Address _____

Taxpayer Identification

Taxpayer Identification Number (TIN).

- ☐ TIN: _____
- ☐ TIN has been applied for.
- ☐ TIN is not required because:
- ☐ Offeror is a nonresident alien, foreign corporation, or foreign partnership that does not have income effectively connected with the conduct of a trade or business in the United States and does not have an office or place of business or a fiscal paying agent in the United States;
- ☐ Offeror is an agency or instrumentality of a foreign government;
- ☐ Offeror is an agency or instrumentality of the Federal Government.

Type of organization

- ☐ Sole proprietorship;
- ☐ Partnership;

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- ☐ Corporate entity (not tax-exempt);
☐ Corporate entity (tax-exempt);
☐ Educational Institution;
☐ Government entity (Federal, State, or local);
☐ Foreign government;
☐ International organization per 26 CFR 1.6049-4;
☐ Other: _____.

The [North American Industry Classification System \(NAICS\) Code](#) (Replaces the SIC Code)

NAICS Code _____.

Common parent

- ☐ Offeror is not owned or controlled by a common parent
☐ Name and TIN of common parent:
 Name _____.
 TIN _____.

Small Business Program Representations

The [small business size standard](#) for your NAICS Code is _____.

Note: The small business size standard for a concern which submits an offer in its own name, other than on a construction or service contract, but which proposes to furnish a product which it did not itself manufacture, is 500 employees.

Representations

- (1) The offeror represents that it ☐ is, ☐ is not a small business concern.
- (2) The offeror represents, for general statistical purposes, that it ☐ is, ☐ is not, a small disadvantaged business concern as defined in 13 CFR 124.1002.
- (3) The offeror represents that it ☐ is, ☐ is not a women-owned small business concern.
- (4) The offeror represents that it ☐ is, ☐ is not a veteran-owned small business concern.
- (5) The offeror represents that it ☐ is, ☐ is not a service-disabled veteran-owned small business concern.
- (6) The offeror represents that it ☐ is, ☐ is not a HUBZone small business concern listed, on the date of this representation, on the List of Qualified HUBZone Small Business Concerns maintained by the Small Business Administration, and no material change in ownership and control, principal office, or HUBZone employee percentage has occurred since it was certified by the Small Business Administration in accordance with 13 CFR part 126.

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Small Disadvantaged Business Status

Representations

- (1) ☐ It has received certification by the Small Business Administration as a small disadvantaged business concern consistent with 13 CFR 124, Subpart B; and
- (A) No material change in disadvantaged ownership and control has occurred since its certification;
- (B) Where the concern is owned by one or more disadvantaged individuals, the net worth of each individual upon whom the certification is based does not exceed \$750,000 after taking into account the applicable exclusions set forth at 13 CFR 124.104(c)(2); and
- (C) It is identified, on the date of its representation, as a certified small disadvantaged business concern in the database maintained by the Small Business Administration; or
- (2) ☐ It has submitted a completed application to the Small Business Administration or a Private Certifier to be certified as a small disadvantaged business concern in accordance with 13 CFR 124, Subpart B, and a decision on that application is pending, and that no material change in disadvantaged ownership and control has occurred since its application was submitted.

Definitions

Taxpayer Identification Number (TIN)

Means the number required by the Internal Revenue Service (IRS) to be used by the offeror in reporting income tax and other returns. The TIN may be either a Social Security Number or an Employer Identification Number.

Common Parent

Means that corporate entity that owns or controls an affiliated group of corporations that files its Federal income tax returns on a consolidated basis, and of which the offeror is a member.

Small Business Concern

Means a concern, including its affiliates, that is independently owned and operated, not dominant in the field of operation in which it is bidding on Government contracts, and qualified as a small business under the criteria in 13 CFR part 121 and the size standard for the listed NAICS.

Women-Owned Small Business Concern

Means a small business concern

- (1) That is at least 51 percent owned by one or more women; or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more women; and

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- (2) Whose management and daily business operations are controlled by one or more women.

HUB Zone Small Business Concern

Means a small business concern that is small as defined by § 126.203, is exclusively owned and controlled by persons who are United States citizens, and has its principal office located in a HUBZone.

Veteran-Owned Small Business Concern

Means a small business concern

- (1) Not less than 51 percent of which is owned by one or more veterans (as defined at 38 U.S.C. 101(2)) or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more veterans; and
- (2) The management and daily business operations of which are controlled by one or more veterans.

Service-Disabled Veteran-Owned Small Business Concern

- (1) Means a small business concern-

- (i) Not less than 51 percent of which is owned by one or more service-disabled veterans or, in the case of any publicly owned business, not less than 51 percent of the stock of which is owned by one or more service-disabled veterans; and
- (ii) The management and daily business operations of which are controlled by one or more service-disabled veterans or, in the case of a veteran with permanent and severe disability, the spouse or permanent caregiver of such veteran.

- (2) **Service-Disabled Veteran** means a veteran, as defined in 38 U.S.C. 101(2), with a disability that is service-connected, as defined in 38 U.S.C. 101(16).